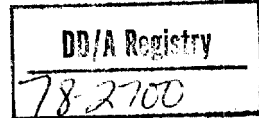
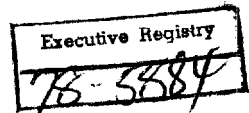


ADMINISTRATIVE - INTERNAL USE ONLY

NFAC #2712/78

5 July 1978



MEMORANDUM FOR: Director of Central Intelligence 52
THROUGH : Director, National Foreign Assessment Center
Deputy Director of Central Intelligence
FROM : Coordinator for Academic Relations, NFAC
SUBJECT : Clearance of External Research Projects)

STAT

1. Action Requested: That you direct a working group to review and recommend revisions in order to clarify and update Agency policies and procedures for coordinating certain external research proposals.

STAT

2. Background: As the CIA representative in interagency fora concerned with foreign affairs external research, I am responsible for assuring that such Agency research complies with presidential and NSC directives. Among other things, those directives require us to seek State Department clearance for all external research proposals that involve field investigations or contact with foreign nationals as well as those that could adversely affect US interests abroad. Although this has been required since the mid-1960s following the embarrassments of Agency compliance has been irregular. It is essential that our internal policies be comprehensive and clear and that we make full disclosure--within security limits--as required.

STAT

3. contains internal policies and guidelines governing our conformance with the reporting requirements. Last revised in October 1969, however, it is now seriously out-of-date. It should be revised to reflect changed Agency organization and practices, new reporting requirements, and the reality that Agency-sponsored external research projects are considerably more numerous and diverse than they were only a few years ago. As that trend continues, the role of the Academic Relations Staff as the Agency representative and coordinator in these affairs becomes more important and should be reflected in Headquarters Regulations.

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MORI/CDF Pages 1-25

ADMINISTRATIVE - INTERNAL USE ONLY

NFAC #2712/78

SUBJECT: Clearance of External Research Projects)

STAT

4. Although nearly all CIA external research is funded by NFAC and DDS&T, the other directorates should also be represented on a working group responsible for recommending revisions in OGC, and perhaps the IG, should also be represented. A legal, and perhaps moral gray area exists in the apparent conflict between the responsibility to properly inform State of our external research and your responsibility for protecting intelligence sources and methods. New internal regulations should reduce that conflict as much as possible and provide explicit guidance on how disagreements would be resolved internally.

STAT

5. I suspect that once new internal policies and procedures are enunciated it will be advisable for you to inform the Secretary of State of any major changes that occur. Most importantly, the Secretary should understand how and on what grounds the Agency will exempt itself from the reporting requirements when sensitive intelligence sources and methods are involved in our external research. We should anticipate, moreover, that as Agency external research increases in foreign affairs topics, members and committees of Congress will inquire about our policies for coordinating and clearing proposals.

6. Action Recommended: That you direct an Agency working group with DDO, DDA, DDS&T, NFAC, OGC, and IG representation to recommend revisions in Headquarters Regulations concerned with the coordination of external research.

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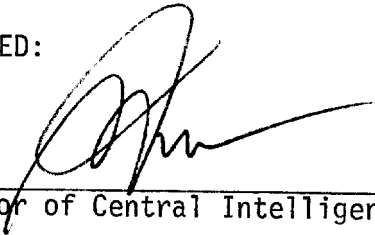
ADMINISTRATIVE - INTERNAL USE ONLY

NFAC #2712/78

SUBJECT: (Clearance of External Research Projects)

STAT

APPROVED:



Director of Central Intelligence

DISAPPROVED:

Director of Central Intelligence

13 JUL 1978

DATE: _____

-3-

ADMINISTRATIVE - INTERNAL USE ONLY

REFERENCE MATERIALS

ON

[redacted] Clearance of External Research Projects

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Tab A. [redacted] 20 February 1968, text.

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Tab B. Memorandum, "Policies and Procedures for Department of State Review and Coordination of Government-Sponsored Research on Foreign Affairs," 16 January 1978. This is the currently governing directive.

Tab C. Annex I to the aforementioned memo: "Foreign Affairs Research: Working Definition".

Tab D. Memo to Deputy Director for Research Services, OER, Department of State from Paul V. Walsh, 6 October 1977, designating the Coordinator for Academic Relations (and his Associate) as Agency representatives for interagency coordination on questions relating to foreign affairs research sponsored by the Federal Government.

Tab E. Memorandum, "Coordination of Foreign Affairs Research Sponsored by the Federal Government," 27 April 1977, signed by Zbigniew Brzezinski. This memo replaces NSDM 98, 9 February 1971.

Tab F. NSDM 98, 9 February 1971. "Coordination of Foreign Affairs Research Sponsored by the Federal Government." Signed by Henry A. Kissinger, Secretary of State.

Tab G. Foreign Affairs Manual Circular, No. 352, 24 August 1965, entitled: "Foreign Affairs Research Council Established and Interagency Coordination of Social Science Research Strengthened."

Tab H. Letter to Dean Rusk, Secretary of State from President Lyndon Johnson, 2 August 1965: "... no Government sponsorship of foreign area research should be undertaken which in the judgment of the Secretary of State would adversely affect United States foreign relations."

Tab I. Foreign Affairs Manual Circular No. 261, "Interagency Coordination of Social Science Research on Foreign Areas," 10 December 1964. This circular announces the initial coordination action undertaken in consequence

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FOREIGN AFFAIRS RESEARCH

Working Definition

Subject Matter

Foreign and domestic affairs are increasingly difficult to sort out, both in the U.S. and in other countries. Actions and attributes of the US, governmental and private, shape both foreign and domestic affairs. For these reasons, a broad rather than narrow definition of foreign affairs research is required if knowledge and analyses adequate to current and emergent realities are to be developed.

Therefore, a foreign affairs research program, project or activity is one that focuses, in part or entirely, on recent, contemporary, or future:

- Attributes, ideas or behavior of non-US individuals, groups, societies, or nations. This includes not only studies of discrete foreign areas or peoples but also multinational studies and cross-national comparative studies. It also includes more distant historical studies that draw parallels with or lessons applicable to the contemporary scene.
- Relations between and among nations or relations between and among individuals or groups located in different nations.
- Ways in which US individuals, groups, ideas, attributes or activities bear on the position or behavior of the US in international affairs.

Research Approaches and Methods

As in other categories of human and social affairs, knowledge about foreign affairs neither fits neatly into disciplinary categories nor emerges exclusively from a limited number of specifiable approaches, methods, techniques, etc.

- Generally, foreign affairs research uses the approaches and methods of one or more of the basic or applied social, behavioral, or policy sciences. But, alternatively or in addition, it may also use the approaches and methods of one or more of the humanities. It should be specially stressed that these approaches and methods can be applied to the foreign affairs aspects, uses, or implications of the physical and life sciences and of technologies (weapons, energy, industrial, agricultural, medical, etc.); studies of this nature are an increasingly important part of foreign affairs research.

- As in many other fields, foreign affairs research can be categorized as pure, exploratory, basic, applied, developmental, evaluative, policy, etc. All such categories should be included when foreign affairs is the

6 October 1977

MEMORANDUM FOR: Mrs. Barbara Morlet
Deputy Director for Research Services
Office of External Research
Department of State
Washington, D. C. 20520

SUBJECT : Coordination of Foreign Affairs Research
Sponsored by the Federal Government

REFERENCE : Memorandum, same subject, of 20 September 1977,
from Harold H. Saunders

Mr. Saunders' memorandum asks that the Director of Central Intelligence designate a representative for interagency coordination on questions relating to foreign affairs research sponsored by the Federal Government and a clearance point for foreign affairs research sponsored by the Central Intelligence Agency. The purpose of this memorandum is to inform you that both these functions will be performed by [redacted] as Coordinator for Academic Relations and External Analytic Support and/or by [redacted] as Associate Coordinator for Academic Relations and External Analytic Support.

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[redacted]
Paul V. Walsh
Associate Deputy Director
for
Intelligence

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NATIONAL SECURITY COUNCIL

WASHINGTON, D.C. 20505

LIMITED OFFICIAL USE

February 9, 1971

National Security Decision Memorandum 98

TO: The Secretary of State
 The Secretary of Defense
 The Director, Office of Management and Budget
 The Director, United States Information Agency
 The Director of Central Intelligence
 The Director, Arms Control & Disarmament Agency

SUBJECT: Coordination of Foreign Affairs Research Sponsored by
 the Federal Government

The President has reviewed the report of the Ad Hoc Committee set up under the authority of the memorandum of May 28, 1969, to review present procedures for coordinating foreign affairs research sponsored by the Federal Government. He considers that the report underscores the necessity for closer coordination of foreign affairs research to avoid duplication of effort and to enhance the quality and utility of the research product.

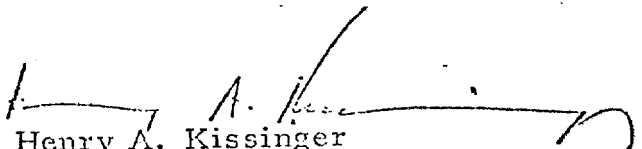
The President has directed that the Under Secretaries Committee assume the responsibility for assuring interagency coordination of the external foreign affairs research sponsored by the departments and agencies in receipt of this Memorandum. In this connection, the Under Secretaries Committee is charged with coordinating the preparation of an annual foreign affairs consolidated research plan to be submitted for approval by the President. This plan should state group-wide and individual agency research goals and priorities, present a multi-year plan for regional and functional areas indicating agency responsibilities, and suggest joint funding of particular activities. It should be designed to avoid duplication and assure maximum interagency utility of the end product in terms of both content and availability. The Under Secretaries Committee also should make recommendations on related matters, including the state of in-house research programs and capabilities.

The Chairman of the Under Secretaries Committee may establish an interagency subcommittee or working group, including representatives of the addressees of this memorandum as appropriate, to assist the Under Secretaries Committee in the discharge of this responsibility.

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Those parts of the terms of reference proposed by the Ad Hoc Committee dealing with the purpose, scope, membership, and functions of a coordinating body should be drawn upon by the Under Secretaries Committee as appropriate in carrying out its responsibilities.


Henry A. Kissinger

cc: The Secretary of the Treasury
The Secretary of Agriculture
The Secretary of Commerce
The Secretary of Labor
The Secretary of Health, Education, and Welfare
The Director, Office of Emergency Preparedness
The Chairman, Joint Chiefs of Staff
The Director, National Science Foundation
The Administrator, Agency for International
Development
The Director, Office of Science & Technology
The Chairman, Atomic Energy Commission
The Director, National Aeronautics and
Space Administration

LIMITED OFFICIAL USE



Foreign Affairs Manual Circular

SUBJECT: Foreign Affairs Research Council Established
and Interagency Coordination of Social Science
Research Strengthened

No. 352

August 24, 1965

1. Purpose

The purpose of this circular is to announce the establishment of the Foreign Affairs Research Council and to describe its nature and objectives.

2. Foreign Affairs Research Council

a. Objectives

The Foreign Affairs Research Council was established by the Secretary to implement a Presidential Directive that the Department take action to assure the propriety of all government-sponsored social science research in the area of foreign policy. The Council will formulate policy, determine Department needs, and examine all government-sponsored research projects in terms of the foreign policy risks they may entail and consider means for reducing such risks.

b. Value to American Foreign Policy

In the past, no central supervision, control or direction was exercised over foreign area contract research conducted by the various agencies of the Federal Government. The Foreign Affairs Research Council will exercise the needed policy control to ensure that such projects take appropriate account of the political considerations involved in the design, initiation, and prosecution of research. In addition, the Council will consider and take action on substantive and organizational problems related to government sponsorship in foreign affairs research.

(over)

c. Members

The Council is chaired by the Director of the Bureau of Intelligence and Research, and includes the Deputy Assistant Secretary for Politico-Military Affairs, the Chairman of the Policy Planning Council, and, when appropriate, representatives of the various regional bureaus.

d. Staff

The Council will be supported by the Office of External Research in the Bureau of Intelligence and Research (INR/XR).

3. The Foreign Area Research Coordination Group (FAR)

The Interagency Foreign Area Research Coordination Group (FAR) will continue its activities to coordinate, on a voluntary basis, government-sponsored foreign policy research in social sciences (FAMC No. 261, December 10, 1964). The activities of the FAR will focus on cooperative research planning and information exchange on an interdepartmental basis. Its authority has been strengthened by the appointment of the Deputy Director of the Bureau of Intelligence and Research as its chairman, and the other participating agencies will be requested to raise their representation accordingly.

(INR/EX)

(NOTE: Number of last circular issued: FAMC No. 351.)

THE WHITE HOUSE

WASHINGTON

12521

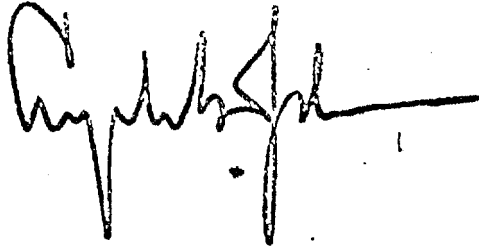
August 2, 1965

Dear Mr. Secretary:

Many agencies of the Government are sponsoring social science research which focuses on foreign areas and people and thus relates to the foreign policy of the United States. Some of it involves residence and travel in foreign countries and communication with foreign nationals. As we have recently learned, it can raise problems affecting the conduct of our foreign policy.

For that reason I am determined that no Government sponsorship of foreign area research should be undertaken which in the judgment of the Secretary of State would adversely affect United States foreign relations. Therefore I am asking you to establish effective procedures which will enable you to assure the propriety of Government-sponsored social science research in the area of foreign policy. I suggest that you consult with the Director of the Bureau of the Budget to determine the proper procedures for the clearance of foreign affairs research projects on a Government-wide basis.

Sincerely,



The Honorable Dean Rusk
Secretary of State
Washington, D. C. 20520



Foreign Affairs Manual Circular

SUBJECT: Interagency Coordination of Social Science
Research on Foreign Areas

No. 261

December 10, 1964

1. Purpose

The purpose of this circular is to announce the establishment of the interagency Foreign Area Research Coordination Group (FAR), and to describe to Department officers its nature and objectives.

2. The Foreign Area Research Coordination Group (FAR)

a. Objectives

The central objective of the FAR Group is the systematic coordination of government-sponsored foreign area and foreign policy research in the social sciences, particularly in the research planning phase. The Group attempts to insure inter-agency cooperation in research activities, to prevent unnecessary duplication among agencies, to encourage maximum use of research results, and to promote the efficient use of nongovernment research resources.

b. Value to the Department

The Department (INR) provides the chairman and secretariat for FAR. While the Department has available only limited funds for foreign area contract research in the social sciences, other government agencies are spending relatively large amounts each year on research which bears upon international relations and foreign policy. The establishment of the FAR Group now opens to Department officers in all bureaus a channel whereby the research planning, direction and results of other government agencies may become better known and utilized. Interested officers are invited to contact INR/External Research Staff (extensions 3656 or 2875) for more detailed information on the activities and plans of the FAR Group.

c. Members

The following agencies are currently represented in the FAR Group:

- Agency for International Development
- Arms Control and Disarmament Agency
- Central Intelligence Agency
- U.S. Information Agency
- National Aeronautics and Space Administration
- National Science Foundation
- Department of Agriculture
- Department of Health, Education, and Welfare
- Department of Defense
 - Defense Research and Engineering
 - International Security Affairs
 - Defense Intelligence Agency
 - Advanced Research Project Agency
- Army
- Navy
- Air Force
- Department of State
 - Bureau of Intelligence and Research
 - Bureau of Educational and Cultural Affairs

Representatives of the White House and Bureau of the Budget have also been kept informed of FAR activities and have participated in some of the meetings.

Members are normally research administrators responsible for executing and monitoring contract research programs, although memberships on the subcommittee level include substantive specialists in a foreign area or social science discipline.

d. Activities

- (1) Group meetings normally center on geographic or functional research areas and provide a government-wide review of contract research undertaken to date, research planned for the next fiscal year, and long-term research needs. Decisions of the Group are in the form of recommendations to the agencies represented. The Group does not exercise a veto power or otherwise attempt to direct the research program of any agency.

- (2) A number of FAR subcommittees have been established to deal with substantive research problems such as the identification of short and long-term needs, relationships with the private research community, and interagency cooperation in planning and directing specific research programs. The FAR China Subcommittee is preparing an outline of research needs, and has taken steps to establish a government-wide panel of outstanding scholars on China. The Latin America Subcommittee is collecting data for a directory of government offices concerned with Latin American research. The parent FAR Group has also established a Documentation Subcommittee responsible for exploring ways to improve the exchange of advance information on both government and private research, and to formulate documentation guidelines for the entire membership.

e. Secretariat

Under the Terms of Reference adopted by the Group, and approved by the Bureau of Administration April 9, 1964, the External Research Staff of INR serves as the Executive Secretariat of the FAR Group. William J. Nagle, Director of the Staff, has been appointed FAR Chairman, and S. William Mullany is the Executive Secretary. In carrying out its Secretariat function, the External Research Staff continues to perform its role as an interdepartmental service funded by several agencies for the purpose of promoting efficient use of government and private research on foreign areas and cross-cultural studies.

CORRECTION TO FAMC NO. 114

Attachment (p. 1), paragraph 2:

Change salary cut-off from

"8,000" to "8,400".

(INR/EX)

(NOTE: Number of last circular issued: FAMC No. 260.)

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